

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page ____ of ____	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Vlad		Portnoy	
Inventor's Signature <i>Vlad Portnoy</i>		Date <i>9/23/04</i>	
Residence: City New York	State NY	Country US	Citizenship US
Mailing Address 43 w 61st Street, Apt. 11G			
City New York	State NY	ZIP 10023	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country
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Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge) (37 CFR 1.16 (e)) required)	Attorney Docket Number	014033-000037	
	First Named Inventor	Ross L. Stevens	
	<i>COMPLETE IF KNOWN</i>		
	Application Number		
	Filing Date		
	Art Unit		
	Examiner Name		

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02/B attached hereto:

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) and § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America listed below.

Prior Application Number(s)	Country	Filing Date (MM/DD/YYYY)
60/566,708	UNITED STATES	4/30/2004

PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION – Utility or Design Patent ApplicationDirect all correspondence to: ☐ Customer Number **24239** OR ☐ Correspondence address below

Name

Steven B. Phillips
Moore & Van Allen PLLC

Address

2200 West Main Street, Suite 800

City

Durham

State

NC

ZIP

27705

Country

US

Telephone

919-286-8000

Fax

919-286-8199

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

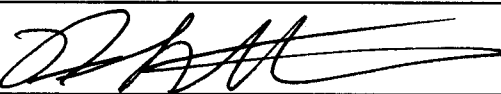
(first and middle [if any])

Ross L.

Family Name

Or Surname

Stevens

Inventor's
Signature

Date

9/23/04

Residence: City

New York

State

NY

Country

US

Citizenship

US

Mailing Address

420 East 54th Street, Apt. 3C

City

New York

State

NY

ZIP

10022

Country

US

NAME OF SECOND INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

George S.

Family Name

Or Surname

Corey Jr.

Inventor's
Signature

Date

Residence: City

Westport

State

CT

Country

US

Citizenship

US

Mailing Address

2 Tiffany Lane

City

Westport

State

CT

ZIP

06880

Country

US

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02S or 02LR attached hereto

PTO/SB/01 (08-03)

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919-286-8000

Fax

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Given Name

(first and middle [if any])

Ross L.

Family Name

Or Surname

Stevens

Inventor's

Signature

Date

Residence: City

New York

State

NY

Country

US

Citizenship

US

Mailing Address

420 East 54th Street, Apt. 3C

City

New York

State

NY

ZIP

10022

Country

US

NAME OF SECOND INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

George S.

Family Name

Or Surname

Corey Jr.

Inventor's

Signature

Date

9/27/04

Residence: City

Westport

State

CT

Country

US

Citizenship

US

Mailing Address

2 Tiffany Lane

City

Westport

State

CT

ZIP

06880

Country

US

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